

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. **740756-2441**
 First Inventor **Shunpei YAMAZAKI**
 Title **SEMICONDUCTOR DEVICE**
 Express Mail Label No.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original and a duplicate for fee processing)
 Applicant claims small entity status.
 See 37 CFR 1.27.
- ☒ Specification [Total Pages 73]
 (preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications (if applicable)
 - Statement Regarding Fed sponsored R & D (if applicable)
 - Reference to sequence listing, a table, or a computer program listing appendix (if applicable)
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) (Figs. 1A-23B) [Total Sheets 21]
- Oath or Declaration [Total Pages 4]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies, or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [Power of Attorney]
 (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure [Copies of IDS]
 Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
16. ☐ Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Change of Name and Address Trans.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

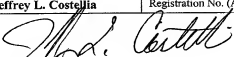
☐ Continuation ☒ Divisional of prior application No.: 09/440,633 filed November 19, 1999

Prior application information: Examiner Remmon R. Forde Group / Art Unit: 2826

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 22204 or ☐ Correspondence address below

Name	Jeffrey L. Costellia			
Address	Nixon Peabody LLP			
	8180 Greensboro Road Suite #800			
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Country		Telephone (703) 790-9110	Fax (703) 883-0370	
Name (Print/Type)	Jeffrey L. Costellia		Registration No. (Attorney/Agent)	#35,483
Signature			Date	<u>2/22/02</u>

**FEE TRANSMITTAL
FOR FY 2001**

Patent fees are subject to annual revision.

Complete (if known)

Application Number	Not Yet Assigned
Filing Date	February 21, 2002
First Named Inventor	Shunpei YAMAZAKI et al
Examiner Name	Remmon R. Forde
Group Art Unit	2826
Attorney Docket No.	740756-2441

TOTAL AMOUNT OF PAYMENT (\$)**1088.00**

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-2380 (740756-2441)**Deposit Account Name **Nixon Peabody LLP**

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27☒ Payment Enclosed:☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

A. BASIC FILING FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740 201 370		Utility filing fee	740.00
106 330 206 165		Design filing fee	
107 510 207 255		Plant filing fee	
108 740 208 370		Reissue filing fee	
114 160 214 80		Provisional filing fee	

SUBTOTAL (1) (\$)**740.00**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
30	-20** = 10	X 18.00 =	180.00
Independent Claims	5	-3** = 2	X 84.00 = 168.00
Multiple Dependent			

Large Entity Fee Code	Small Entity Fee Code (\$)	Fee Description
103 18 203 9		Claims in excess of 20
102 84 202 42		Independent claims in excess of 3
104 280 204 140		Multiple dependent claim, if not paid
109 84 209 42		** Reissue independent claims over original patent
110 18 210 9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**740.00**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English transaction	
147 2,520 147 2,520		For filing a request for <i>ex parte</i> reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 400 200 200		Extension for reply within second month	
117 920 460 460		Extension for reply within third month	
118 1,440 720 720		Extension for reply within fourth month	
128 1,960 228 980		Extension for reply within fifth month	
119 320 219 160		Notice of Appeal	
120 320 220 160		Filing a brief in support of an appeal	
121 280 221 140		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,280 241 640		Petition to revive - unintentional	
142 1,280 242 640		Utility issue fee (or reissue)	
143 460 243 230		Design issue fee	
144 620 244 310		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Processing fee under 37 CFR 1.17(g)	
126 180 126 180		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 740 246 370		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740 249 370		For each additional invention to be examined (37 CFR § 1.29(b))	
179 740 279 370		Request for Continued Examination (RCE)	
169 900 169 900		Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

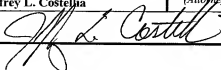
SUBTOTAL (3) (\$)**740.00**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage so that this mail is an envelope addressed to Commissioner for Patents, Washington, DC 20231, on _____

Name _____

SUBMITTED BY

Name (Print/Type)	Jeffrey L. Costello	Registration No. (Attorney/Agent)	#35,483
Signature			

Complete (if applicable)

Telephone	(703) 790-9110
Date	2/22/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the New Divisional Application of)
Shunpei YAMAZAKI) Art Group: 2826
Based on US Serial No.: 09/440,633) Examiner: Remon R. Forde
Which was filed: November 16, 1999)
For: SEMICONDUCTOR DEVICE) Date: February 22, 2002

NOTICE OF CHANGE OF ADDRESS
and
NOTICE OF CHANGE OF NAME


Commissioner for Patents
Washington, D.C. 20231

Sir:

Effective immediately, please note that the address and the firm name of the attorney of record in the above-referenced application has been changed. Please direct all future correspondence to:

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Respectfully submitted,


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JLC/sas